

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
14826 (ETH-1579)

In Re Application Of: Dale R. Schulze

Serial No.
09/966,971

Filing Date
9/28/01

Examiner
Unassigned

Group Art Unit
3701

Title: ARRANGEMENT AND METHOD FOR VASCULAR ANASTOMOSIS



Address to:

Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
2. a Notice of Allowance under 37 CFR 1.311,

whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

OR

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

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S. Schulze
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In Re Application Of: **Dale R. Schulze**

Serial No.
09/966,971

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Unassigned

Group Art Unit
3761

Title: **ARRANGEMENT AND METHOD FOR VASCULAR ANASTOMOSIS**



Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 19-1013/SSMP as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax No. _____) on _____ (Date)

Signature

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*This certificate may only be used if paying by deposit account.

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on 12/13/01 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Mishelle Mustafa
Typed or Printed Name of Person Mailing Correspondence

Dated: **December 13, 2001**

Leopold Presser
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Signature

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Dale R. Schulze

Examiner: Unassigned

Serial No: 09/966,971

Art Unit: 3761

Filed: September 28, 2001

Docket: 14826 (ETH-1579)

For: ARRANGEMENT AND METHOD
FOR VASCULAR ANASTOMOSIS

Dated: December 13, 2001

Assistant Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. U.S. Patent No. 6,015,416, dated January 18, 2000, issued to Stefanchik, et al.;
2. U.S. Patent No. 5,686,763, dated February 9, 1999, issued to Spence et al.;
3. U.S. Patent No. 5,893,369, dated April 13, 1999, issued to LeMole;
4. U.S. Patent No. 5,944,730, dated August 31, 1999, issued to Nobles et al.;
5. U.S. Patent No. 5,976,159, dated November 2, 1999, issued to Bolduc, et al.;
6. U.S. Patent No. 5,817,113, dated October 6, 1998, issued to Gifford, III, et al.;

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on December 13, 2001.

Dated: December 13, 2001

Mishelle Mustafa

7. U.S. Patent No. 5,695,504, dated December 9, 1997, issued Gifford, III, et al;
8. U.S. Patent No. 5,041,127, dated August 20, 1991, issued to Troutman;
9. U.S. Patent No. 4,657,019, dated April 14, 1987, issued to Walsh, et al;
10. U.S. Patent No. 4,368,736, dated January 18, 1983, issued to Kaster;
11. U.S. Patent No. 3,019,789, dated June 30, 1958, issued to Whitehill, et al.; and
12. PCT Application No. WO 98/37218, dated July 29, 1999.

Applicants are submitting copies of the above-cited references.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

Respectfully submitted,

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